



IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

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4-23-04

APPLICANT(S): Ajit S. Shah et al.  
SERIAL NO.: 09/742,699  
FILED: December 20, 2000  
TITLE: Information Exchange Engine Providing a Critical  
Infrastructure Layer and Methods of Use Thereof  
EXAMINER: Unknown  
GROUP ART UNIT: 2161  
ATTY.DKT.NO.: PA1273US

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**INFORMATION DISCLOSURE STATEMENT**  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08a listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants believe no fee is due with this submission. If a fee is due, however, the Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,  
Ajit S. Shah et al.

Dated: March 31, 2004

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**Complete if Known**

Application Number	09/742,699
Filing Date	December
First Named Inventor	Ajit S. Shah
Art Unit	2161
Examiner Name	Unknown
Attorney Docket Number	PA1273US

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,987,440	11-16-1999	O'Neil et al.	
		US- 6,088,677	07-11-2000	Spurgeon	
		US- 6,125,388	09-26-2000	Reisman	
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PTO/SB/08a (05-03)

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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